

新乡市人民政府办公室文件

新政办〔2022〕9号

新乡市人民政府办公室 关于大力支持产业研究院建设的 实施意见（试行）

各县（市）、区人民政府，市人民政府有关部门：

为深入贯彻省第十一次党代会精神，落实《河南省人民政府关于组建首批河南省产业研究院和命名首批河南省中试基地的通知》（豫政文〔2021〕152号）要求，加快我市产业研究院（简称产研院）建设，推动科技研发与产业发展深度融合，促进各类创新要素集约、集聚、集群式突破，形成创新驱动发展合力，为制造业高质量发展提供强有力的技术支撑，制订本实施意见。

一、总体思路

坚持以习近平新时代中国特色社会主义思想为指导，认真贯

彻习近平总书记科技创新“四个对接”重要指示和视察河南重要讲话精神，全面落实省委省政府工作部署，坚持新发展理念，构建新发展格局，面向国内外科技发展前沿和重点优势产业发展的迫切需求，以省级产研院为载体和引领，搭建科技与产业、企业与高校、科研院所合作的桥梁，强力打造符合我市产业实际和未来发展趋势的技术创新及产业化生态体系。

二、发展定位

技术创新的策源地。面向我市产业发展需求，牢牢把握国际技术发展趋势，大力推动自主创新，通过开展前沿技术、关键共性技术研发或联合攻关，突破产业发展“卡脖子”技术和共性关键技术瓶颈，助推产业研究院做强做大，带动产业提档升级和换道领跑。

产业发展的助推器。坚持市场化机制，瞄准行业产业化技术市场需求，突出应用技术研发，打通“政产学研金服用”通道，加速成果转化转移和创新成果产业化、规模化商用进程，打造具有新乡特色的瞪羚企业、专精特新“小巨人”企业、创新龙头企业、隐形冠军企业，促进新技术、新成果、新产品、新产业、新业态快速发展，推动产业全面升级。

招才引凤的梧桐树。加强与国内外顶尖高校、研发机构的对接合作，探索建立符合我市产业发展实际的创新型人才引进机制，建设开放融合共享的国际化创新平台，吸纳、集聚、培养高水平领军人才与创新团队，营造充满生机与活力的科技创新氛

围。

创新要素的资源池。通过产业研究院的统筹引领，凝聚现有优质平台资源，融合技术、资本、人才、数据等科技创新要素，优化全市创新生态体系，推动产业链、创新链、人才链、金融链相互融合，激发高质量发展活力，把产研院打造成产业健康可持续发展的“航空母舰”。

三、发展目标

到 2022 年底，争取河南省生物医药产业研究院、河南省先进膜材料产业研究院、河南省高性能医疗器械产业研究院建设完毕并通过验收，梳理规划一批研发课题和攻关项目，初步形成产业创新集聚效应和示范效应。

到 2025 年，力争在我市建设省级产研院 5—8 家，构建比较完善的产业创新体系，对我市重点产业形成强有力的支撑。

未来基本形成以产研院为引领，产业快速发展的“核武器”，推动一大批科研成果产业化。同时，以制造业创新中心、技术创新中心、产业创新中心为补充，其他创新联合体、共性技术平台相融合的制造业产业技术创新体系，为打造我市先进制造业创新高地提供重要支撑和保障。

四、支持政策

（一）河南省产业研究院挂牌后，财政每年一次性给予 500 万元的创建经费补助，连续支持 3 年。（责任单位：市工信局、财政局，各县〔市、区〕政府、管委会）

(二) 积极对接省级新兴产业投资基金和创业投资引导基金，引入支持我市产业项目及产业研究院建设。加强我市基金管理公司与国内省内优秀基金管理机构的沟通，探索多方合作模式，适时设立我市新兴产业投资和创业投资子基金，支持我市产业研究院发展。(责任单位：市财政局、金融局)

(三) 对于产研院将科技成果产业化所新设立的衍生企业加大上市扶持力度。对于在全国中小企业股份转让系统挂牌交易的除给予 100 万元奖励外，再对相应产研院给予 25 万元鼓励性奖励；在境内沪深交易所、北交所或者在香港证券交易所、美国证券交易所等境外证券交易所主板市场首发上市的，除给予 200 万元奖励外，再对相应产研院给予 50 万元嘉奖性奖励。(责任单位：市金融局、财政局)

(四) 鼓励产研院积极申报国家、省、市科技项目和制造业项目支持，同等条件下优先支持产研院市级项目立项，优先推荐申报国家、省科技项目和制造业项目。(责任单位：市科技局、工信局)

(五) 对产研院或者其衍生企业作为第一完成单位完成的科研成果获得国家科技进步奖的，按照特等、一等、二等奖次，分别给予 200 万元、40 万元、20 万元一次性奖励；获得省科技进步奖的，按照一、二、三等奖次，分别给予 15 万元、5 万元、2 万元一次性奖励。(责任单位：市科技局、财政局)

(六) 建立符合创新规律的容错机制，对确因不可抗力因素

研发或投资失败造成的财政资金损失，经相关程序予以核销处理，鼓励科研人员敢于挑战、勇于创新。（责任单位：市工信局、审计局、科技局、财政局）

（七）经所在高校院所同意，鼓励高校院所教师、科研人员等到产研院兼职，我市高校院所认可其在产研院的工作业绩，并作为考核和职称评聘的相关依据；在成果归属和收益分配达成一致的前提下，允许高校院所科研人员携带科技成果到产研院开展技术开发和创办企业，并享受相关政策待遇。（责任单位：市人社局、教育局、科技局）

（八）产研院的各类人才享受省市相关人才政策；为在新外籍高层次人才申请签证、长期居留许可、永居证提供便利服务；外籍高层次人才工作许可不受年龄、学历和工作经历限制。（责任单位：市委人才办，市公安局、科技局、人社局）

（九）支持产研院组建职称评审委员会，按照程序报省人力资源社会保障厅核准备案后开展职称评审工作。对于科技研发和创新成果突出的科研人才，符合相应系列专业破格要求的，可以破格参加职称评审。（责任单位：市人社局）

（十）建立产研院激励约束机制，对重大科研成果有突出贡献的科研人员，可试行给予期权股权激励，不断完善产研院健康可持续发展的长效机制。（责任单位：各产研院牵头建设单位）

（十一）对于产研院将科技成果产业化所新设立的衍生企业，年营业收入首次超过500万元、1000万元、1亿元的，除分

别给予 5 万元、10 万元、20 万元一次性奖励外，再对相应产研院分别给予 5 万元、10 万元、20 万元一次性奖励。（责任单位：市工信局、财政局、统计局）

（十二）优先安排产研院及其衍生企业项目要素。市发改、科技、商务、工信等部门在安排有关专项资金时，优先支持符合条件的产研院及其衍生企业；优先保障产研院及其衍生企业项目用地指标、煤电油气运等各类生产要素需求。（责任单位：市资源规划局、发改委、工信局、科技局、商务局）

（十三）支持产研院及其衍生企业申报各种试点示范。在符合条件的情况下，优先推荐产研院及其衍生企业申报“专精特新”小巨人企业、高新技术企业、科技型中小企业、技术创新示范企业、创新龙头企业、瞪羚企业、隐形冠军企业等各种试点示范。（责任单位：市工信局、科技局）

五、保障措施

（一）加强组织领导。成立推进新乡市产业研究院建设工作领导小组，建立市级领导分包机制，负责我市产研院建设统筹推进协调工作，及时研究解决产研院有关问题，协同推动产研院建设发展。领导小组由市政府市长任组长，常务副市长、分管工业副市长任副组长，各相关市直单位作为成员单位，办公室设在市工信局，由工信局主要负责人任办公室主任，科技局、市场监管局主要负责人任办公室副主任，具体负责产研院建设发展日常工作。

（二）充分放权赋能。市直各部门、产研院建设牵头单位对产研院充分放权，产研院市场化运作、自主经营、独立核算。各产研院建立以项目制为核心的管理运营机制，深入市场对接需求，科学论证，自主立项，联合攻关，探索建立符合产研院特点的运作模式、商业模式和盈利模式。

（三）强化资金扶持。设立专项资金，用于支持产研院各种支持政策。鼓励我市投融资主体对全市产研院进行投资并引导社会资本和基金参与，盘活省级产研院的各项资源，推进产研院及其衍生企业快速做强做大，走向资本市场，形成“资金资产化、资产资本化、资本证券化、证券资金化”的经济循环和增值闭环，打造好产研院“金字”招牌。

（四）内培外引结合。建立产研院培育库，在我市优势产业中挑选龙头支柱企业，加大培育力度，积极鼓励重点龙头企业建立市级产研院，为争取省级产研院打好基础。积极引进外地产研院落户新乡，享受我市产研院同等政策支持。定期到国内外顶尖高校、科研院所、研发机构主动拜访，探索合作方式，将产研院作为顶级高校院所科研成果落地的承接平台，促进先进适用技术在我市开花结果。

（五）注重绩效管理。产研院设定绩效目标，从第二年起每年新研发科技成果 10 项以上、转移转化先进技术 5 项以上；对于承担揭榜挂帅任务的给予重点支持，根据产研院科技研发、成果转化、产业化进度和对我市产业发展贡献等情况，每年进行绩

效评价，完善激励机制。评价结果作为实施支持政策措施的重要依据。

本意见中产研院范围为省政府认定的产业研究院，所列各项支持政策按财政体制分级承担，有效期自发布之日起至 2024 年 12 月 31 日。

新乡市人民政府办公室

2022 年 1 月 30 日

附 件

新乡市推进产业研究院建设工作领导小组 成员名单

组 长：	魏建平	市政府市长
副组长：	孙 栋	市政府常务副市长
	祁文华	市政府副市长
成 员：	赵建军	市政府副秘书长
	王 滨	市政府副秘书长、市金融局党组书记、 副局长
	范士富	市委组织部副部长（分管日常工作）
	李修国	市教育局局长
	李 亮	市科技局局长
	杜家武	市工信局局长
	冯 晖	市财政局局长
	岳绍琪	市人社局局长
	王志勇	市资源规划局局长
	王洪珍	市生态环境局局长
	王洪伟	市应急局局长
	范乃秋	市审计局局长
	马长杰	市市场监管局局长

潘仁林	市统计局局长
谢如庆	市发改委副主任
孟凡辉	市公安局副局长
王立新	市商务局副局长

领导小组办公室设在市工信局，具体负责产研院建设发展日常工作。

主办：市工信局

督办：市政府办六科

抄送：市委各部门，新乡军分区。

市人大常委会办公室，市政协办公室，市法院，市检察院。

新乡市人民政府办公室

2022年1月30日印发



Xinxiang Municipal People's Government
Office Implementation Opinions on Vigorously
Supporting the Construction of Industrial
Research Institute (trial)

People's governments of counties (cities) and districts and relevant departments of municipal people's governments:

To further implement the province the 11th party congress spirit, the implementation of the Henan province people's government about the first batch of Henan industry institute and named the first pilot base in Henan province notice (yu zheng article [2021]152) requirements, accelerate the city industry research institute (hereinafter referred to as the institute), promote science and technology research and development and industry development depth fusion, promote all kinds of innovation elements intensive, agglomeration, cluster breakthrough, innovation driven development together, for manufacturing industry provide strong technical support for high

quality development, formulate the implementation opinions.

First, the general thinking

We will adhere to the guidance of Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era. Che xi general secretary of the scientific and technological innovation docking four important instructions and visit Henan important speech spirit, the full implementation of the provincial government work deployment, adhere to the new development concept, build a new development pattern, facing the forefront of science and technology development and the urgent needs of the development of key advantage industries, with provincial production institute as the carrier and lead, build science and technology and industry, enterprises and universities and research institutes, strong build in line with the city industry reality and the future development trend of technological innovation and industrialization of ecological system.

Second, the development orientation

The source of technological innovation. Facing the needs of our city industry development, firmly grasp the

international technology development trend, vigorously promote independent innovation, by conducting cutting-edge technology, key generic technology research and development or joint research, breakthrough industry development technology and common key technology bottleneck, booster industry research institute to do stronger, drive industry gear upgrade and lane leading.

The booster of industrial development. Adhere to the market mechanism, aiming at industry industrialization technology market demand, highlight the application technology research and development, play zheng production gold take channel, accelerate achievements transfer and innovation industrialization, scale commercial process, build gazelle enterprises with characteristic of Xinxiang, specialization, new small giant enterprises, innovation leading enterprises, invisible champions, promote new technology, new achievements, new products, new industries, new forms rapid development, promote industry comprehensive upgrade.

A sycamore tree attracting the phoenix. Strengthen the

docking and cooperation with top universities and research and development institutions at home and abroad, explore the establishment of innovative talent introduction mechanism in line with the actual industrial development of our city, build an open, integrated and shared international innovation platform, absorb, gather and cultivate high-level leading talents and innovation teams, and create a scientific and technological innovation atmosphere full of vitality and vitality Around.

A resource pool of innovation elements. Through industry research institute as a whole lead, condensed the existing quality platform resources, fusion technology, capital, talent, data and other science and technology innovation elements, optimize the city's innovation ecological system, promote industrial chain, innovation chain, talent chain, financial chain, stimulate the vitality of high quality development, the institute into a healthy and sustainable development of industry, the aircraft carrier.

Third, development goals

At the end of 2022, we will strive for the

completion of Henan Biomedical Industry Research Institute, Henan Advanced Membrane Materials Industry Research Institute and Henan Research Institute of High-performance Medical Device Industry and pass the acceptance inspection, organize and plan a number of research and development projects and key projects, and initially form the agglomeration effect and demonstration effect of industrial innovation.

By 2025, we will strive to build a provincial production and research institute in our city, to build a relatively perfect industrial innovation system, to form a strong support for the key industries in our city.

In the future, it will basically form a nuclear weapon led by the industrial research institute, and promote the industrialization of a large number of scientific research achievements. At the same time, the manufacturing industry technology innovation system, technology innovation center, industrial innovation center, other innovation consortium and generic technology platform, provides important support and guarantee for building the city's advanced

manufacturing innovation highland.

Fourth, supporting policies

(1) After the listing of Henan Industrial Research Institute, the finance will give a one-time subsidy of 5 million yuan per year for 3 continuous support years.

(Responsible units: Municipal Industry and Information Technology Bureau, Bureau of Finance, county, city, district government, administrative Committee)

(2) Actively connect with provincial emerging industry investment funds and venture capital guidance funds, and introduce and support the construction of industrial projects and industrial research institutes in our city. Strengthen the communication between the city's fund management company and excellent fund management institutions in the province, explore multi party cooperation mode, set up the city's emerging industry investment and venture capital sub-fund in time, and support the development of the city's industrial research institute. (Responsible unit: Municipal Finance Bureau, Financial Bureau)

(3) Increase the listing support for the newly established derivative enterprises of the Institute of

scientific and technological Achievements. In addition to giving a reward of 1 million yuan, provide an incentive reward of 250000 yuan to the corresponding industry research institute; the companies listed on the Shanghai and Shenzhen Stock Exchange, the North Stock Exchange or the Hong Kong Stock Exchange and the American Stock Exchange, the same award. (Responsible unit: Municipal Financial Bureau, Finance Bureau)

(4) Encourage the institute to actively apply for national, provincial and municipal science and technology projects and manufacturing project support, to support the establishment of municipal projects under the same conditions, and to recommend the application of national and provincial science and technology projects and manufacturing projects. (Responsible unit: Municipal Science and Technology Bureau, Industry and Information Technology Bureau)

(5) For the scientific research achievements completed as the first completion unit, provide one-time rewards of 2 million yuan, 400000 yuan, and 200000 yuan respectively ; Received the Provincial

Science and Technology Progress Award provide one-time rewards of 150000 yuan, 50000 yuan, and 20000 yuan respectively for the first, second and third prizes. (Responsible unit: Municipal Science and Technology Bureau, Finance Bureau)

(6) Establish a fault-tolerant mechanism in line with the law of innovation, and respond to the real force majeure factors the loss of financial funds caused by the failure in research and development or investment shall be written off through relevant procedures, and researchers shall be encouraged to dare to challenge and innovate. (Responsible units: Municipal Industry and Information Technology Bureau, Audit Bureau, Science and Technology Bureau, Finance Bureau)

(7) With the consent of the universities, the teachers and researchers are encouraged to wait for the basis of the appraisal and according to the scientific and technological achievements, the researchers are allowed to bring the institutes for technology development and enterprise establishment and to enjoy the relevant policy treatment. (Responsible unit:

Municipal Human Resources's Social Security Bureau, Education Bureau, Science and Technology Bureau)

(8) All kinds of talents in the Institute enjoy relevant provincial and municipal talent policies; provide convenient services for visa, long-term residence permit and permanent residence permit; the work permit for foreign high-level talents is not limited by age, education and work experience. (Responsible units: Municipal Talent Office, Municipal Public Security Bureau, Science and Technology Bureau, Human Resources and Social Security Bureau)

(9) Support the institute of Industry and Research to set up the professional title evaluation committee, and carry out the professional title evaluation after reporting to the Provincial Human Resources and Social Security Department for approval and record in accordance with the procedures. For scientific research talents with outstanding achievements in scientific and technological research and development and innovation, those who meet the requirements of the corresponding series of majors can participate in the

evaluation of professional title. (Responsible unit: Municipal Human Resources and Social Security Bureau)

(10) Establish the incentive and restraint mechanism of the institute, and the researchers who have made outstanding contributions to major scientific research achievements can be given option equity incentive temporarily, so as to continuously improve the long-term mechanism for the healthy and sustainable development of the institute. (Responsible unit: the leading construction unit of each production and research institute)

(11) For the derivative enterprises newly established by the Institute of Scientific and Technological Achievements of the Institute, whose annual operating income exceeds 10,000 yuan, ten thousand yuan or 100 million yuan for the first time, it shall be divided do not give ten thousand yuan, ten thousand yuan, ten thousand yuan one-time reward, and then to the corresponding production and research institute respectively to give ten thousand yuan, ten thousand yuan, ten thousand yuan one-time reward. (Responsible unit: Municipal Industry and Information

Technology Bureau, Finance Bureau, Bureau of Statistics)

(12) Give priority to the project elements of the Institute and its derivative enterprises. When arranging relevant special funds, the municipal departments of development and reform, science and technology, commerce, industry and information technology shall give priority to supporting the qualified institute and its derivative enterprises; and guarantee the demand of project land index and various production factors, such as coal, electricity, oil and gas transportation. (Responsible units: Municipal Resources and Planning Bureau, National Development and Reform Commission, Industry and Information Technology Bureau, Science and Technology Bureau, Commerce Bureau)

(13) Support the Institute of Industry and Research and its derivative enterprises to apply for various pilot demonstrations. Under the qualified conditions, the institute of Industry and Research and its derivatives enterprises are recommended to apply for special and new small giant enterprises, high-tech

enterprises, small and medium-sized technology enterprises, technological innovation demonstration enterprises, innovation leading enterprises, gazelle enterprises, invisible champion enterprises and other pilot demonstrations. (Responsible unit: Municipal Industry and Information Technology Bureau, Science and Technology Bureau)

Fifth, guarantee measures

(1) **Strengthen organizational leadership.** Established a leading group to promote the construction of Xinxiang Industrial Research Institute, established the municipal leadership subcontracting mechanism, responsible for the overall planning and coordination of the construction of the Municipal Industry and research Institute, timely study and solve the relevant problems of the production and research institute, and jointly promote the construction and development of the production and research institute. Leading group by the municipal government mayor coalesced, vice mayor, deputy mayor in charge of industry, deputy team leader, the relevant straight unit as a member unit, the office is located in the city bureau, by the bureau chief

director of the office, technology bureau, market supervision bureau, deputy director of the office, specifically responsible for production YanYuan construction development daily work.

(2) Fully delegate power and empower people. All municipal departments and the leading units of the construction of the institute fully delegate power to the institute, and the institute has market-oriented operation, independent operation and independent accounting. Each industry and research institute has established a management and operation mechanism with the project system as the core, deepened the market docking demand, scientifically demonstrated, independently set up projects, jointly tackle key problems, and explored the establishment of the operation mode, business model and profit model in line with the characteristics of the industry and research institute.

(3) Strengthen financial support. Special funds will be set up to support various supporting policies of the Institute. Encourage the city investment and financing main body to invest in the city and guide the social

capital and funds to participate in, revitalize the provincial institute of the resources, promote the institute and its derivatives fast and stronger, to the capital market, form the capital assets, asset capitalization, capital securitization, securities capital economic circulation and value-added closed loop, make good institute signboard.

(4) the combination of internal cultivation and external introduction. Establish the cultivation database of production and research institutes, select leading pillar enterprises in the advantageous industries of our city, increase the cultivation efforts, actively encourage key leading enterprises to establish municipal production and research institutes, and lay a good foundation for the provincial production and research institutes. Actively introduce foreign real estate research courtyard households Xinxiang, enjoy the same policy support of the city's production and research institute. We will regularly visit top universities, research institutes and research and development institutions at home and abroad, explore cooperation methods, and take them as a platform to

undertake the scientific research achievements of top universities and institutes, so as to promote the flowering and bearing fruit of advanced applicable technologies in our city.

(5) Pay attention to performance management. And the Institute will set the performance target, and provide key support to the Institute according to the scientific and technological development, achievement transformation, industrialization progress and contribution to the industrial development of our city. Effect evaluation, perfect the incentive mechanism. The evaluation results serve as an important basis for the implementation of supporting policy measures.

The scope of the Central Industrial Research Institute is an industrial research institute recognized by the provincial government. The supporting policies listed shall be undertaken in different levels according to the financial system, and the validity period is valid from the date of release until December 31, 2024.